

June 21, 2018

Glaukos Corporation Mr. David Fernquist Vice President, Regulatory Affairs 229 Avenida Fabricante San Clemente, CA 92672

Re: P170043

Trade/Device Name: iStent *inject*[®] Trabecular Micro-Bypass System (Model G2-M-IS) Filed: December 26, 2017 Amended: May 17, 2018 Product Code: OGO

Dear Mr. Fernquist:

The Center for Devices and Radiological Health (CDRH) of the Food and Drug Administration (FDA) has completed its review of your premarket approval application (PMA) for the iStent *inject*[®] Trabecular Micro-Bypass System (Model G2-M-IS). This device is indicated for use in conjunction with cataract surgery for the reduction of intraocular pressure (IOP) in adult patients with mild to moderate primary open-angle glaucoma. We are pleased to inform you that the PMA is approved. You may begin commercial distribution of the device in accordance with the conditions of approval described below.

The sale and distribution of this device are restricted to prescription use in accordance with 21 CFR 801.109 and under section 515(d)(1)(B)(ii) of the Federal Food, Drug, and Cosmetic Act (the act). The device is further restricted under section 515(d)(1)(B)(ii) of the act insofar as the labeling must specify the specific training or experience practitioners need in order to use the device. FDA has determined that these restrictions on sale and distribution are necessary to provide reasonable assurance of the safety and effectiveness of the device. Your device is therefore a restricted device subject to the requirements in sections 502(q) and (r) of the act, in addition to the many other FDA requirements governing the manufacture, distribution, and marketing of devices.

Expiration dating for this device has been established and approved at three (3) months. This is to advise you that the protocol you used to establish this expiration dating is considered an approved protocol for the purpose of extending the expiration dating as provided by 21 CFR 814.39(a)(7).

Continued approval of the PMA is contingent upon the submission of periodic reports, required under 21 CFR 814.84, at intervals of one year (unless otherwise specified) from the date of approval of the original PMA. Two copies of this report, identified as "<u>Annual Report</u>" and bearing the applicable PMA reference number, should be submitted to the address below. The Annual Report should indicate the beginning and ending date of the period covered by the report and should include the information required by 21 CFR 814.84. This is a reminder that as of September 24, 2014, class III devices are subject to certain provisions of the final UDI rule. These provisions include the requirement to provide a UDI on the device label and packages (21 CFR 801.20), format dates on the device label in accordance with 21 CFR 801.18, and submit data to the Global Unique Device Identification Database (GUDID) (21 CFR 830 Subpart E). Additionally, 21 CFR 814.84 (b)(4) requires PMA annual reports submitted after September 24, 2014, to identify each device identifier currently in use for the subject device, and the device identifiers for devices that have been discontinued since the previous periodic report. It is not necessary to identify any device identifier discontinued prior to December 23, 2013. Combination Products may also be subject to UDI requirements (see 21 CFR 801.30). For more information on these requirements, please see the UDI website, http://www.fda.gov/udi.

In addition to the above, and in order to provide continued reasonable assurance of the safety and effectiveness of the PMA device, the Annual Report must include, separately for each model number (if applicable), the number of devices sold and distributed during the reporting period, including those distributed to distributors. The distribution data will serve as a denominator and provide necessary context for FDA to ascertain the frequency and prevalence of adverse events, as FDA evaluates the continued safety and effectiveness of the device.

In addition to the Annual Report requirements, you must provide the following data in post-approval study (PAS) reports for each PAS listed below. Separate PAS Progress Reports must be submitted for each study every six (6) months during the first two (2) years of the study and annually thereafter, unless otherwise specified by FDA. Two (2) copies of each report, identified as an "ODE Lead PMA Post-Approval Study Report" or "OSB Lead PMA Post-Approval Study Report" in accordance with how the study is identified below and bearing the applicable PMA reference number, should be submitted to the address below.

1. ODE Lead PMA Post-Approval Study – Extended Follow-up of the Premarket Cohort Implanted with the iStent *inject*[®] Trabecular Micro-Bypass System (Model G2-M-IS): The Office of Device Evaluation (ODE) will have the lead for this clinical study, which was initiated prior to device approval. This study will be conducted as per the protocol outlined in our June 12, 2018 email. On June 12, 2018, you agreed to conduct a study as follows:

The study is a prospective, multicenter, observational study with no planned interventions to assess the long term safety in patients who have completed participation in the IDE clinical trial (study protocol GC-008). The study is designed to evaluate the long-term rate of clinically relevant complications associated with iStent *inject*[®] placement and stability. The sample size will include 366 eyes of 366 patients. This is based on the number of subjects implanted with GTS400(s) using the G2-M-IS injector system, completed 24 month follow-up in study Protocol GC-008 and will meet the study eligibility criteria. The subjects will be followed for 60 months post-randomization in the IDE trial. Annual follow-up will be at Months 36, 48 and 60.

The primary safety endpoint is the rate of clinically relevant complications associated with iStent $inject^{(B)}$ Trabecular Micro-Bypass System placement and stability as determined at 60 months. Specific device-related complications include clinical sequelae resulting from device position including, but not limited to: secondary surgical intervention (SSI) to modify device position (e.g., repositioning or explantation); corneal endothelial touch by device; and corneal edema leading to best spectacle corrected visual acuity (BSCVA) loss > 2 lines at the Month 60 visit, in comparison with preoperative BSCVA.

Other safety outcomes include 1) rate of occurrence of sight threatening adverse events including: persistent (at time of study exit) BSCVA loss ≥ 3 lines compared to best recorded BSCVA at any postoperative visit; endophthalmitis; corneal decompensation; retinal detachment; and severe choroidal hemorrhage or detachment or aqueous misdirection; 2) rate of ocular secondary surgical interventions (SSI); 3) rate of other adverse events including: increase from baseline IOP of ≥ 10 mmHg at any time ≥ 30 days postoperative; BSCVA loss ≥ 2 lines at Month 60 compared to screening; BSCVA loss ≥ 2 lines at Month 60 compared to best recorded BSCVA at any postoperative visit; and device movement, defined as a stent not visible in the original location, that does not result in clinically relevant complications as described above (e.g., SSI to modify device position, corneal endothelial touch by device, or corneal edema leading to BSCVA loss > 2 lines at the Month 60 visit compared to preoperative BSCVA), and that is not attributable to any one or more of the following: variations in gonioscopic, optical coherence tomography (OCT) or ultrasound biomicroscopy (UBM) viewing angle or illumination; changes in angle anatomy due to concomitant findings such as resolution of hyphema; or changes in anterior chamber depth development of focal peripheral anterior synechiae (PAS).

OSB Lead PMA Post-Approval Study – iStent *inject*[®] Trabecular Micro-Bypass System (Model G2-M-IS) New Enrollment PAS: The Office of Surveillance and Biometrics (OSB) will have the lead for studies initiated after device approval. This study will be conducted as per the protocol outlined in our June 12, 2018 email. On June 12, 2018, you agreed to conduct a study as follows:

The study is a prospective, non-randomized, multicenter, single arm, new enrollment post approval study to evaluate the rate of clinically relevant complications associated with iStent *inject* placement and stability as determined at 36 months in the postmarket setting compared to the pre-specified performance target of 2%. The study population is adults who have mild to moderate primary open angle glaucoma (POAG) in the study eye and are undergoing cataract surgery in that eye. A total of 358 eyes of 358 subjects will be enrolled at up to 30 sites, to ensure that a minimum of 250 subjects will be available at 36 months to test the hypothesis that the probability of having clinically relevant complications associated with iStent *inject*[®] placement and stability during the 36-month follow-up period is less than or equal to 2%. Post-operative follow-up will occur at Day 1, Week 1, and Months 1, 3, 6, 12, 24, and 36.

The primary safety endpoint is the rate of clinically relevant complications associated with iStent *inject*[®] placement and stability as determined at 36 months. Specific device-related complications include clinical sequelae resulting from device position including, but not limited to: secondary surgical intervention (SSI) to modify device position (e.g., repositioning or explantation), corneal endothelial touch by device, and corneal edema leading to Best Spectacle-Corrected Visual Acuity (BSCVA) loss > 2 lines at the Month 36 visit, in comparison with preoperative BSCVA.

Other safety endpoints include 1) Rate of occurrence of sight-threatening adverse events including persistent (at time of study exit) BSCVA loss ≥ 3 lines compared to best recorded BSCVA at any postoperative visit, endophthalmitis, corneal decompensation, retinal detachment, and severe choroidal hemorrhage or detachment or aqueous misdirection; 2) Rate of ocular secondary surgical interventions (SSI); and 3) Other adverse events including increase from baseline IOP of ≥ 10 mmHg at any time ≥ 30 days postoperative, BSCVA loss ≥ 2 lines at Month 36 compared to screening, BSCVA loss ≥ 2 lines at Month 36 compared to best recorded BSCVA at any postoperative visit, and device movement, defined as a stent not visible in the original location, that does not result in clinically relevant complications as described above (e.g., SSI to modify device position, corneal endothelial touch by device, or corneal edema leading to BSCVA loss ≥ 2 lines at the Month 36 visit compared to preoperative BSCVA), and that is not attributable to any one or more of the following: variations in gonioscopic, Optical Coherence Tomography (OCT) or Ultrasound Biomicroscopy (UBM) viewing angle or illumination, changes in angle anatomy due to concomitant findings such as resolution of hyphema, changes in anterior chamber depth, or development of focal peripheral anterior synechiae.

The final report will be submitted to the FDA within 3 months of study completion.

Be advised that the failure to conduct any such study in compliance with the good clinical laboratory practices in 21 CFR part 58 (if a non-clinical study subject to part 58) or the institutional review board regulations in 21 CFR part 56 and the informed consent regulations in 21 CFR part 50 (if a clinical study involving human subjects) may be grounds for FDA withdrawal of approval of the PMA.

Be advised that protocol information, interim and final results will be published on the Post Approval Study Webpage <u>http://www.fda.gov/devicepostapproval</u>.

In addition, the results from any post approval study should be included in the labeling as these data become available. Any updated labeling must be submitted to FDA in the form of a PMA Supplement. For more information on post-approval studies, see the FDA guidance document entitled, "Procedures for Handling Post-Approval Studies Imposed by PMA Order" (http://www.fda.gov/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/ucm070974.htm).

Within 30 days of your receipt of this letter, you must submit a PMA supplement that includes complete protocols of your post-approval studies described above. Your PMA supplements should be clearly labeled as an "ODE Lead PMA Post-Approval Study Protocol" or "OSB Lead PMA Post-Approval Study Protocol" as noted above and submitted in triplicate to the address below. Please reference the PMA number above to facilitate processing. If there are multiple protocols being finalized after PMA approval, please submit each protocol as a separate PMA supplement.

Before making any change affecting the safety or effectiveness of the PMA device, you must submit a PMA supplement or an alternate submission (30-day notice) in accordance with 21 CFR 814.39. All PMA supplements and alternate submissions (30-day notice) must comply with the applicable requirements in 21 CFR 814.39. For more information, please refer to the FDA guidance document entitled, "Modifications to Devices Subject to Premarket Approval (PMA) - The PMA Supplement Decision-Making Process" http://www.fda.gov/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/ucm089274.htm.

You are reminded that many FDA requirements govern the manufacture, distribution, and marketing of devices. For example, in accordance with the Medical Device Reporting (MDR) regulation, 21 CFR 803.50 and 21 CFR 803.52 for devices or postmarketing safety reporting (21 CFR 4, Subpart B) for combination products, you are required to report adverse events for this device. Manufacturers of medical devices, including in vitro diagnostic devices, are required to report to FDA no later than 30 calendar days after the day they receive or otherwise becomes aware of information, from any source, that reasonably suggests that one of their marketed devices:

- 1. May have caused or contributed to a death or serious injury; or
- 2. Has malfunctioned and such device or similar device marketed by the manufacturer would be likely to cause or contribute to a death or serious injury if the malfunction were to recur.

Additional information on MDR, including how, when, and where to report, is available at <u>http://www.fda.gov/MedicalDevices/Safety/ReportaProblem/default.htm</u> and on combination product postmarketing safety reporting is available at (see <u>https://www.fda.gov/CombinationProducts/GuidanceRegulatoryInformation/ucm597488.htm</u>).

In accordance with the recall requirements specified in 21 CFR 806.10 for devices or the postmarketing safety reporting requirements (21 CFR 4, Subpart B) for combination products, you are required to submit a written report to FDA of any correction or removal of this device initiated by you to: (1) reduce a risk to health posed by the device; or (2) remedy a violation of the act caused by the device which may present a risk to health, with certain exceptions specified in 21 CFR 806.10(a)(2). Additional information on recalls is available at http://www.fda.gov/Safety/Recalls/IndustryGuidance/default.htm.

CDRH does not evaluate information related to contract liability warranties. We remind you; however, that device labeling must be truthful and not misleading. CDRH will notify the public of its decision to approve your PMA by making available, among other information, a summary of the safety and effectiveness data upon which the approval is based. The information can be found on the FDA CDRH Internet HomePage located at

http://www.fda.gov/MedicalDevices/ProductsandMedicalProcedures/DeviceApprovalsandClearances/PMA Approvals/default.htm. Written requests for this information can also be made to the Food and Drug Administration, Dockets Management Branch, (HFA-305), 5630 Fishers Lane, Rm. 1061, Rockville, MD 20852. The written request should include the PMA number or docket number. Within 30 days from the date that this information is placed on the Internet, any interested person may seek review of this decision by submitting a petition for review under section 515(g) of the act and requesting either a hearing or review by an independent advisory committee. FDA may, for good cause, extend this 30-day filing period.

Failure to comply with any post-approval requirement constitutes a ground for withdrawal of approval of a PMA. The introduction or delivery for introduction into interstate commerce of a device that is not in compliance with its conditions of approval is a violation of law.

You are reminded that, as soon as possible and before commercial distribution of your device, you must submit an amendment to this PMA submission with copies of all final labeling. Final labeling that is identical to the labeling approved in draft form will not routinely be reviewed by FDA staff when accompanied by a cover letter stating that the final labeling is identical to the labeling approved in draft form. If the final labeling is not identical, any changes from the final draft labeling should be highlighted and explained in the amendment.

All required documents should be submitted in 6 copies, unless otherwise specified, to the address below and should reference the above PMA number to facilitate processing.

U.S. Food and Drug Administration Center for Devices and Radiological Health PMA Document Control Center - WO66-G609 10903 New Hampshire Avenue Silver Spring, MD 20993-0002

If you have any questions concerning this approval order, please contact Jan C. Callaway at 301-796-6465 or Jan.Callaway@fda.hhs.gov.

Sincerely,

for Malvina B. Eydelman, M.D. Director Division of Ophthalmic and Ear, Nose, and Throat Devices Office of Device Evaluation Center for Devices and Radiological Health